

10/567601

1AP20 Rec'd PCT/PTO 08 FEB 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :  
Kok Seng CHONG et al. : **Mail Stop: PCT**  
Serial No. NEW : Attorney Docket No. 2006\_0127A  
Filed February 8, 2006 :

METHOD FOR DETERMINING TIME  
BORDERS AND FREQUENCY RESOLUTIONS  
FOR SPECTRAL ENVELOPE CODING  
[Corresponding to PCT/JP2004/014977  
Filed October 4, 2004]

THE COMMISSIONER IS AUTHORIZED  
TO CHARGE ANY DEFICIENCY IN THE  
FEES FOR THIS PAPER TO DEPOSIT  
ACCOUNT NO. 23-0975

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicants request consideration of the references listed on attached form PTO-1449 and any additional information identified below in paragraph 3. A legible copy of each reference listed on the Form PTO-1449 is enclosed, except a copy is not provided for:

- ☒ each U.S. Patent and U.S. Patent application publication;
- ☐ each reference previously cited in the international application PCT/\_\_\_\_\_; and/or
- ☐ each reference previously cited in prior parent application Serial No. \_\_\_\_\_.

1a. ☒ This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the above-entitled application, or

ATTACHMENT "D"

before the mailing of a first Office Action on the merits or the mailing of a first Office Action after the filing of an RCE,

**and thus no certification and/or fee is required.**

1b. ☐ This Information Disclosure Statement is submitted

after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus:

(1) ☐ the certification of paragraph 2 below is provided, **or**

(2) ☐ the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

1c. ☐ This Information Disclosure Statement is submitted:

after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:

**the certification of paragraph 2 below is provided, and**

**the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.**

2. It is hereby certified

a. ☐ that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or

b. ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.

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3. ☐ Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.
4. For each non-English language reference listed on the attached form PTO-1449, reference is made to:
- a. ☐ a full or partial English language translation submitted herewith,
  - b. ☒ a foreign patent office search report (in the English language) submitted herewith,
  - c. ☒ the concise explanation contained in the specification of the present application at pages 2 and 4,
  - d. ☒ the concise explanation set forth in the attached English language abstract,
  - e. ☒ the concise explanation set forth below or on a separate sheet attached to the reference:
- EP 0 602 826 corresponds to JP 6-214600;**  
**WO 97/31367 corresponds to JP 11-504733;**  
**U.S. Patent No. 6,377,915 corresponds to JP 2000-267700; and**  
**WO 01/37264 corresponds to JP 2003-514267.**
5. ☐ A foreign patent office search report citing one or more of the references is enclosed.

Respectfully submitted,

Kok Seng CHONG et al.

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February 8, 2006

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)  
(Use several sheets if necessary)

Date Submitted to PTO: February 8, 2006

ATTY DOCKET NO.  
2006\_0127ASERIAL NO.  
NEW

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APPLICANT  
Kok Seng CHONG et al.FILING DATE  
February 8, 2006

GROUP

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## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	6,377,915	4/2002	Sasaki			
	AB						
	AC						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	AD	6-214600	8/1994	JP			Abstract
	AE	0 602 826	6/1994	EP			
	AF	11-504733	4/1999	JP			
	AG	97/31367	8/1997	WO			
	AH	2004-102095	4/2004	JP			Abstract
	AI	6-85607	3/1994	JP			Abstract
	AJ	2000-267700	9/2000	JP			Abstract
	AK	2003-514267	4/2003	JP			
	AL	01/37264	5/2001	WO			
	AM	2004-53940	2/2004	JP			Abstract
	AN	98/57436	12/1998	WO			
	AO	01/26095	4/2001	WO			

## OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

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EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of form with next communication to applicant.